



Fred Johnson
Managing Director
Investment Banking
Equity Capital Markets

CONTACT

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BACKGROUND

Mr. Johnson joined Northland in 2024 as Managing Director in Equity Capital Markets. He has over 25 years of experience raising public and private capital for public companies across multiple sectors. He has been a Managing Director in investment banking and capital markets at D.A. Davidson, Barrington Research, William Blair, and Wachovia (A.G. Edwards). He has led execution and raised capital in public offerings, PIPEs, ATMs, SPACs, rights offerings, confidential follow-ons and convertible offerings for small and mid-cap public companies.

EDUCATION

Mr. Johnson earned a B.A. degree in Economics and Philosophy from the University of Wisconsin-Madison and his M.B.A. in Finance from New York University's Stern School of Business where he received the Stern Scholarship for academic excellence.

PROFESSIONAL REGISTRATIONS

Mr. Johnson maintains the FINRA SIE Securities Industry Essentials, Series 7 General Securities Representative, Series 79 Investment Banking Registered Representative, Series 24 General Securities Principal, and Series 63 Uniform Securities Agent State Law registrations.

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Northland Capital Markets is a division of Northland Securities, Inc., Member FINRA/SIPC, Registered with SEC and MSRB, and is a full-service capital markets group focused on growth companies and their institutional and accredited investors. Its investment banking services include public offerings of equity and convertible securities, PIPEs, CMPOs and registered direct offerings, private placements of equity and debt securities, and M&A advisory services. The research group provides in-depth research for leading small and mid-cap companies in growth sectors of the economy, with sector expertise in Business Services, Consumer, Energy, Healthcare, Industrial Growth, and Technology. Northland's institutional sales and trading group provides active market making and trading support in its targeted sectors and coverage companies.