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## **David Levine**

Managing Director  
Head of Equity Capital Markets

### **CONTACT**

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### **BACKGROUND**

Mr. Levine joined Northland Capital Markets in 2017 and serves as a Managing Director and Head of Equity Capital Markets. Through his established institutional investor relationships, Mr. Levine has helped our corporate clients raise over \$7.5B of capital as a lead placement agent and considerably more while working within a syndicate.

In his role as Head of Capital Markets, Mr. Levine excels at bringing corporate clients and institutional investors together to craft solutions to meet a variety of capital needs. Prior to joining Northland, he was a trader at Goldman Sachs; in this role, he leveraged his knowledge and relationships to help manage some of Goldman's largest accounts. After leaving Goldman Sachs, Mr. Levine was the head trader and portfolio manager at Dialectic Capital, a \$2.0B hedge fund based in New York City. Mr. Levine has also held positions as the head trader at Black Capital and Daruma Capital.

### **EDUCATION**

Mr. Levine earned his B.A. degree in International Relations and Economics from the University of Pennsylvania.

### **PROFESSIONAL LICENSES/REGISTRATIONS**

Mr. Levine maintains the FINRA SIE Securities Industry Essentials, Series 7 General Securities Representative, Series 55 Limited Representative-Equity Trader, Series 57 Securities Trader, Series 63 Uniform Securities Agent, Series 79 Investment Banking Representative and Series 86/87 Research Analyst registrations.

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Northland Capital Markets is a division of Northland Securities, Inc., Member FINRA/SIPC, Registered with SEC and MSRB, and is a full-service capital markets group focused on growth companies and their institutional and accredited investors. Its investment banking services include public offerings of equity and convertible securities, PIPEs, CMPOs and registered direct offerings, private placements of equity and debt securities, and M&A advisory services. The research group provides in-depth research for leading small and mid-cap companies in growth sectors of the economy, with sector expertise in Business Services, Consumer, Energy, Healthcare, Industrial Growth, and Technology. Northland's institutional sales and trading group provides active market making and trading support in its targeted sectors and coverage companies.