

FACTS	WHAT DOES NORTHLAND SECURITIES DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> <li>▪ Social Security number</li> <li>▪ Income and net worth</li> <li>▪ Assets, account balances, and transaction history</li> <li>▪ Risk tolerance and investment experience</li> </ul>
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Northland chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Northland share?	Can you limit this sharing?
For everyday business purposes – such as to process your transactions, maintain your account(s), verify your identity, respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – with service providers we use to offer our products and services to you	Yes	No
For joint marketing with other financial companies	Yes	No
For our affiliates' everyday business purposes – information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes – information about your creditworthiness	No	We don't share
For our affiliates to market to you	No	We don't share
For nonaffiliates to market to you	No*	We don't share

To limit our sharing:	<ul style="list-style-type: none"> <li>▪ Call 1-800-851-2920 or email <a href="mailto:C@northlandsecurities.com">C@northlandsecurities.com</a></li> </ul> <p>Please note:</p> <p>If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share information about you as described in this notice.</p>
Questions:	1-800-851-2920 or go to <a href="http://www.northlandsecurities.com">www.northlandsecurities.com</a>

Who we are	
Who is providing this notice?	Northland Securities, Inc., Northland Networks, and Northland Trust Services

What we do	
How does Northland protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.  For more information on how we protect your personal information go to <a href="https://www.northlandsecurities.com/policies-disclosures/">https://www.northlandsecurities.com/policies-disclosures/</a>
How does Northland collect my personal information?	We collect your personal information, for example, when you <ul style="list-style-type: none"> <li>▪ Open an account or provide account information</li> <li>▪ Give us your contact information</li> <li>▪ Tell us about your investment or retirement portfolio</li> <li>▪ Make transactions on your account</li> </ul> We also collect your personal information from others, such as credit bureaus, affiliates, or other companies
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> <li>▪ Sharing for affiliates' everyday business purposes - information about your creditworthiness</li> <li>▪ Affiliates from using your information to market to you</li> <li>▪ Sharing for nonaffiliates to market to you</li> </ul> See below for more on your rights under state law.
What happens when I limit sharing for an account, I hold jointly with someone else?	Your choices will apply to everyone on your account-unless you tell us otherwise.

Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> <li>▪ <i>Our affiliates include First National of Nebraska, Inc. ("FNNI") and First National Bank of Omaha ("FNBO").</i></li> </ul>
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> <li>▪ <i>Northland does not share with nonaffiliates so they can market to you.</i></li> </ul>
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"> <li>▪ <i>Our joint marketing partners include insurance companies and financial institutions.</i></li> </ul>

## Other Important Information

**Vermont:** We will not share information about your creditworthiness with our affiliates and will not share your personal information, financial information, credit report information, or health information with nonaffiliated third parties to market to you, other than as permitted by Vermont law, unless you authorize us to do so. For joint marketing, we will only share your name, contact information, and information about our transactions and experiences with you. Additional information concerning our privacy policies can be found at [www.northlandsecurities.com](http://www.northlandsecurities.com) or by calling 1-800-851-2920.

**California:** We will not share information we collect about you with nonaffiliated third parties, unless such sharing is permitted by applicable law.

**For Insurance Customers in AZ, CA, CT, GA, IL, ME, MA, MN, MT, NV, NJ, NC, OH, OR, and VA only.** The term "Information" in this part means customer information obtained in an insurance transaction. We may give your Information to state insurance officials, law enforcement, group policy holders about claims experience, or auditors as the law allows or requires. We may give your Information to insurance support companies that may keep it or give it to others. We may share medical Information so we can learn if you qualify for coverage, process claims, or prevent fraud or if you say we can. To see your Information, contact the employee who services your account by mail or telephone. You must state your full name, address, the insurance company, policy number (if relevant), and the Information you want. We will tell you what Information we have. You may see and copy the Information (unless privileged) at our office or ask that we mail you a copy for a fee. If you think any Information is wrong, you must write us. We will let you know what actions we take. If you do not agree with our actions, you may send us a statement.

\*If your financial representative's affiliation with Northland ends and your representative joins a nonaffiliated securities broker-dealer or registered investment advisor, they may be permitted to use limited information to contact you. The information they may use is limited to your name, address, email address, phone number and account title. Opt-in states, such as California and Vermont and others, require your affirmative consent before the advisor can provide your nonpublic information to the new firm. You may provide consent or limit this sharing by contacting us at 1-800-851-2920 or [Contact@northlandsecurities.com](mailto:Contact@northlandsecurities.com).